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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Spritzer et al. )  
Serial No.: 09/841,058 ) Art Unit  
Filed: April 23, 2001 )  
For: PROCESS FOR HYDROTHERMAL TREATMENT )  
OF MATERIALS )  
Examiner: Unknown )  
Attorney Docket: 11156.81 )

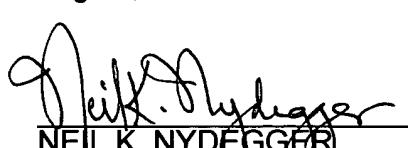
### TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner for Patents  
Washington, D. C. 20231

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of the above-captioned application, or date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits whichever occurs last. 37 CFR 1.97(b).

The Commissioner is hereby authorized to charge payment of any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 14-1519. A duplicate of this request is enclosed.

Respectfully submitted this 31st day of August, 2001.

  
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NEIL K. NYDEGGER

Attorney for Applicant  
Registration No. 30,202

Transmitted: Information Disclosure Statement; PTO Form 1449;  
and 12 references.



PATENT

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INFORMATION DISCLOSURE STATEMENT

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The following sections are being submitted for this Information Disclosure Statement:

1. X Preliminary Statements
2. X FORM PTO - 1449 (Modified)
3.    Identification of Prior Application In Which Listed Information Was Already Cited and For Which No Copies are Submitted Or Need be Submitted.
4. X Copies of Listed Information Items Accompanying This Statement.
5.    Concise Explanation of Non-English Language Listed Information Items.
6.    Translation(s) of Non-English Language Documents.
7.    Certification Under 37 C.F.R. §1.97.(e).
8.    Fee as required under 37 C.F.R §1.17(p).
9.    Petition requesting consideration of Statement and Petition Fee as set forth in 37 C.F.R. §1.17(i)(1).
10. X Identification of Person(s) Making This Information Disclosure Statement.

## **Section 1. Preliminary Statements**

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

#### **Section 4. Copies of Listed Information Items Accompanying This Statement**

Legible copies of all items listed below accompany this information statement.

<u>Patent No.</u>	<u>Date</u>	<u>Name</u>	<u>Country</u>
4,338,199	07/06/82	Modell	USA
4,543,190	09/24/85	Modell	USA
4,822,497	04/18/89	Hong	USA
5,100,560	03/31/92	Huang	USA
5,200,093	04/06/93	Barner	USA
5,252,224	10/12/93	Modell	USA
5,387,398	02/07/95	Mueggenburg	USA
5,427,764	06/27/95	Barber	USA
5,543,057	08/06/96	Whiting	USA
5,674,405	10/07/97	Bourhis	USA
6,054,057	04/25/00	Hazlebeck	USA
6,238,568	05/29/01	Hazlebeck	USA

**Section 10. Identification of Person(s) Making this INFORMATION DISCLOSURE STATEMENT**

The person making this statement is

(a) \_\_\_\_\_ the inventor(s) who signs below

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SIGNATURE OF INVENTOR

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Name of Inventor

(b) X the attorney who signs below on the basis of:

X the information supplied by the inventor(s)  
— an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))  
X the information in the attorney's file



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CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

I hereby certify that this Information Disclosure Statement (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Hon. Commissioner for Patents, Washington, D. C. 20231, with sufficient postage as first class mail pursuant to 37 CFR 1.8(a) on this 21st day of August, 2001.

  
TARYLL ANDERSEN  
Administrator